

DISTRICT JUDGE BENJAMIN H. SETTLE
MAGISTRATE JUDGE KAREN L. STROMBOM

**UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT TACOMA**

JASON CHRISTEN a/k/a MALACHI
MACGREGOR-REIGN,

Plaintiff,

v.

WASHINGTON DEPARTMENT OF
CORRECTIONS, et al.,

Defendants.

NO. C10-5250-BHS-KLS

DEFENDANTS' MOTION FOR
SUMMARY JUDGMENT AND
MEMORANDUM IN SUPPORT
THEREOF

NOTED FOR: 12/16/11

I. MOTION

Defendants Washington State Department of Corrections (DOC), Pat Glebe, Dennis Dahne, Sheri Obenland, and Devon Schrum, by and through their attorneys of record, ROBERT M. MCKENNA, Attorney General, and SARA J. DI VITTORIO, Assistant Attorney General, submit the following motion for summary judgment and memorandum in support thereof pursuant to Fed. R. Civ. P. 56.

II. MEMORANDUM

A. Introduction

Plaintiff, Jason Christen, is currently in the custody of the Washington State Department of Corrections (DOC) at Monroe Correctional Complex, Washington State Reformatory Unit in Monroe, Washington. Exhibit 1, Declaration of Dawn Walker, Attachment A, Offender Management Network Information, Legal Face Sheet, at 2. Plaintiff

1 was incarcerated at the Washington Corrections Center in Shelton, Washington during the
 2 time period relevant to his complaint. *See* Complaint. Plaintiff has filed a civil rights
 3 complaint under 42 U.S.C. § 1983 alleging that the Defendants violated his First, Eighth, and
 4 Fourteenth Amendment rights by not processing his grievances.

5 **B. Issues Presented**

6 A. Whether Plaintiff has failed to state a claim?

7 B. Whether Plaintiff's claims against Defendant Glebe should be dismissed for
 8 failure to allege personal participation?

9 C. Whether Defendant DOC is entitled to Eleventh Amendment immunity?

10 D. Whether Defendants are entitled to qualified immunity?

11 **III. SUMMARY OF THE ARGUMENT**

12 Plaintiff's Complaint should be dismissed because his constitutional rights were not
 13 violated by Defendants. Additionally, Plaintiff has failed to establish that Defendant Glebe
 14 personally participated in the alleged violations. Moreover, Defendant DOC is immune from
 15 suit under the Eleventh Amendment. Finally, Defendants are entitled to qualified immunity.

16 **IV. STATEMENT OF THE FACTS**

17 There is a grievance procedure available to DOC inmates, including those
 18 incarcerated at WCC. The Washington Inmate Grievance Program (OGP) has been in
 19 existence since the early 1980's and was implemented on a department-wide basis in 1985.
 20 Exhibit 2, Declaration of Tamara Rowden, ¶ 4. Under Washington's grievance system, an
 21 inmate may file a grievance over a wide range of aspects of his/her incarceration. *Id.* ¶ 5.
 22 Inmates may file grievances challenging: 1) Department of Corrections institution policies, rules
 23 and procedures; 2) the application of such policies, rules and procedures; 3) the lack of policies,
 24 rules or procedures that directly affect the living conditions of the inmate; 4) the actions of staff
 25 and volunteers; 5) the actions of other inmates; 6) retaliation by staff for filing grievances; and
 26 7) physical plant conditions. An inmate may not file a grievance challenging 1) state or federal

1 law; 2) court actions and decisions; 3) Indeterminate Sentence Review Board actions and
 2 decisions; 4) administrative segregation placement or retention; 5) classification/unit team
 3 decisions; 6) transfers; 7) disciplinary actions; and several other aspects of incarceration. *Id.*
 4 The Washington grievance system provides a wide range of remedies available to inmates. *Id.* ¶
 5 6. These remedies are outlined in OGP 015 and include 1) restitution of property or funds; 2)
 6 correction of records; 3) administrative actions; 4) agreement by department officials to remedy
 7 an objectionable condition within a reasonable time; and 5) a change in a local or department
 8 policy or procedure. *Id.*

9 The grievance procedure consists of four levels of review. *Id.* ¶ 7. At Level 0, the
 10 Complaint or informal level, the inmate writes a complaint, the grievance coordinator then
 11 pursues informal resolution of the issue, returns the complaint to the inmate for additional
 12 information, or accepts the complaint and processes it as a formal grievance. *Id.* At Level I,
 13 the local grievance coordinator responds to the issues raised by the inmate. *Id.* If the inmate is
 14 not satisfied with the response to his Level I grievance, he may appeal the grievance to Level II.
 15 *Id.* All appeals and initial grievances received at Level II are investigated, and the prison
 16 superintendent responds. *Id.* If the inmate is still not satisfied with the response, he may make a
 17 Level II appeal to the Department headquarters, where the issue is reinvestigated and
 18 administrators respond. *Id.* An offender may have up to five grievances processed at one time
 19 or may file five grievances per week. *Id.* Additionally, if an offender experiences an event that
 20 qualifies as an “emergency”, he may file an emergency grievance which does not count as one
 21 of the five grievances. *Id.*

22 When an offender legally changes his name, he is still required to use the name under
 23 which he was committed to DOC custody in “any written or verbal communication with staff.”
 24 Exhibit 3, Declaration of Dell-Autumn Witten, Attachment A, DOC Policy, 400.280, Offender
 25 Legal Name Change. DOC Policy 400.280 also allows an offender to “add the legally changed
 26 ///

1 name after the committed name using an ‘Also Known As’ (AKA) designation for the legally
 2 changed name.” *Id.* This policy applies to grievances. *Id.*

3 Mr. Christen alleges that he has legally changed his name to Malachi Macgregor-Reign.
 4 See Exhibit 2, Attachment D, Grievance Log I.D. Number 0913965. Mr. Christen has not
 5 provided documentation to this Court in support of this assertion. *Id.* However, Defendants do
 6 not refute that his name has been legally changed.

7 Between April 1, 2009 and July 31, 2009, Mr. Christen submitted 50 grievances to
 8 WCC staff. Exhibit 2, Attachment A, B, C, and D, Grievances. On each of these grievances,
 9 Mr. Christen altered the grievance form by crossing out the words “Mandatory”, “Signature”,
 10 and “Date” on the signature line. *Id.* He then signed the document by writing “Jason M.
 11 Christen”, with a line through the signature, essentially crossing it out. *Id.* Mr. Christen then
 12 wrote “ARR/WOP” next to the crossed out signature. *Id.* On many of these grievances, he also
 13 wrote “UCC 1-207” next to his signature. *Id.* At no time did Mr. Christen use the name
 14 Malachi Macgregor-Reign when signing his grievances dated April 1, 2009 through July 31,
 15 2009. Twenty-one (21) of these grievances were not processed because they failed to comply
 16 with DOC Policy 400.280 and because they cited to legal codes. *Id.*, Attachments A and C. Mr.
 17 Christen was given the opportunity to re-write each of these grievances and failed to do so. *Id.*
 18 The remaining 29 grievances were denied because they were non-grievable issues. *Id.*,
 19 Attachment B. In one of the 50 grievances, dated April 21, 2009, Mr. Christen grieved being
 20 placed in a cell with an offender who had MRSA. *Id.*, Attachment C. No other grievances were
 21 filed on this issue during this timeframe or after. *Id.*, Attachments A, B, and D. In a grievance
 22 filed June 30, 2009, Mr. Christen grieved the refusal of staff to process his grievances based on
 23 his signature. *Id.*, Attachment D. This grievance was processed as detailed below. *Id.*

24 On or about April 24, 2009, Mr. Christen met with Defendants Dahne and Obenland
 25 regarding this grievance. Exhibit 4, Declaration of Sheri Obenland; Exhibit 5, Declaration of
 26 Dennis Dahne. During this meeting, Defendants Dahne and Obenland again gave Mr. Christen

1 the opportunity to correct his signature on his grievances to comply with DOC Policy. *Id.* Mr.
 2 Christen explained that “ARR” meant “All Rights Reserved” and “WOP” meant “Without
 3 Prejudice.” Exhibit 5. Mr. Christen refused to explain the meaning of “UCC 1-207” and
 4 instead argued that this was his “legal signature” and the way he “signed everything.” *Id.*
 5 Defendant Dahne informed Mr. Christen that he could re-write and re-submit the grievances
 6 using the name “Jason Christen” and that he was not permitted to alter the form by crossing out
 7 the words around the signature block. *Id.* He also instructed him not to reference the legal code
 8 “UCC 1-207” in his signature block. *Id.* During this meeting Mr. Christen also informed
 9 Defendants Dahne and Obenland that his signature indicated his “religious name” and that
 10 requiring him to change it violated his religious rights. Exhibit 4. Defendant Obenland asked
 11 Mr. Christen if he had legally changed his name and if he had, to provide documentation of the
 12 name change in accordance with DOC Policy 400.280. *Id.* Mr. Christen never provided such
 13 documentation to Defendants during this timeframe. *Id.*

14 Mr. Christen was informed on April 24, 2009, that he could contact the Offender
 15 Grievance Manager, Defendant Schrum, to review the denials of his grievances. Exhibit 6,
 16 Declaration of Devon Schrum. Mr. Christen did not contact Ms. Schrum until June 24, 2009.
 17 Exhibit 6, Attachment A, Letter. Defendant Schrum replied to Mr. Christen’s letter on June 25,
 18 2009, informing Mr. Christen that his grievances would be processed. Exhibit 6, Attachment B,
 19 Correspondence Reply. Mr. Christen’s grievances filed after this date were processed, if they
 20 were within the 5 grievance per week/processed at one time limit and if they contained grievable
 21 issues. Exhibit 6. On July 29, 2009, Defendant Schrum met personally with Mr. Christen. *Id.*
 22 During that meeting, Defendant Schrum informed Mr. Christen that he could use any signature
 23 he liked on his grievances as long as he followed that non-committed name with his committed
 24 name after an AKA designation, in accordance with DOC Policy 400.280. *Id.* During that
 25 meeting, Mr. Christen was also informed that he could re-submit any grievances he wished, five
 26 at a time, in accordance with the OGP, and that the grievances would be processed. Exhibits 4,

1 5, and 6. Mr. Christen did not re-submit his grievances, including his grievance challenging his
2 assignment to a cell with an offender who had MRSA. *Id.*

3 In his Amended Complaint, Mr. Christen asserts that Defendant Dahne sent him
4 correspondence wherein Defendant Dahne signed his name and wrote “UCC 1-207” next to his
5 name. *See* Amended Complaint at 11. Defendant Dahne did not do this and the document Mr.
6 Christen references belies Mr. Christen’s assertion to the contrary. Exhibit 5, ¶ 7; Exhibit 2,
7 Attachment D.

8 **V. EVIDENCE RELIED UPON**

9 Defendants rely upon this motion with the attached declarations of Dawn Walker,
10 Tamara Rowden, Dell-Autumn Witten, Sheri Obenland, Dennis Dahne, and Devon Schrum
11 and attachments thereto and the records and files maintained herein.

12 **VI. STANDARD OF REVIEW**

13 The purpose of summary judgment is to avoid unnecessary trials when there is no
14 dispute as to the material facts before the court. *Zweig v. Hearst Corp.*, 521 F.2d 1129 (9th
15 Cir. 1975), *cert. denied*, 423 U.S. 1025 (1975). The moving party is entitled to summary
16 judgment if the documentary evidence produced by the parties permits only one conclusion.
17 *Anderson v. Liberty Lobby Inc.*, 477 U.S. 242, 251 (1986).

18 The court must determine if a fair-minded jury could return a verdict for the non-
19 moving party. *Anderson*, 477 U.S. at 252. The party seeking summary judgment must show
20 that no genuine issue of material fact exists and that they are entitled to judgment as a matter
21 of law by showing that there is an absence of evidence to support the non-moving party’s
22 case. *Celotex Corp. v. Catrett*, 477 U.S. 317, 325 (1986). To determine if summary
23 judgment is appropriate, the court must consider whether a particular fact is material and
24 whether there is a genuine dispute as to that fact left to be resolved. These considerations
25 must be made in light of the appropriate standard of proof. *Anderson*, 477 U.S. at 248.
26 Factual disputes that do not affect the outcome of the suit under governing law will not be

1 considered. *Id.* Where there is a complete failure of proof concerning an essential element
 2 of the non-moving party's case, all other facts are rendered immaterial, and the moving party
 3 is entitled to judgment as a matter of law. *Celotex*, 477 U.S. at 324; *see also Lujan v.*
 4 *National Wildlife Federation*, 497 U.S. 871 (1990) (Holding that failure to "make a sufficient
 5 showing of an essential element" of one's case requires dismissal).

6 Once the moving party has carried its burden under Rule 56, the party opposing the
 7 motion must do more than simply show that there is some metaphysical doubt as to the
 8 material facts. *Matsushita Elec. Indus. Co. v. Zenith Radio*, 475 U.S. 574, 586 (1986). "A
 9 plaintiff's belief that a defendant acted from an unlawful motive, without evidence
 10 supporting that belief, is no more than speculation or unfounded accusation about whether
 11 the defendant really did act from an unlawful motive." *Carmen v. San Francisco Unified*
 12 *School Dist.*, 237 F.3d 1026, 1028 (9th Cir. 2001).

13 The Ninth Circuit has expressly stated that "[n]o longer can it be argued that any
 14 disagreement about a material issue of fact precludes the use of summary judgment."
 15 *California Architectural Bldg. Prods., Inc. v. Franciscan Ceramics, Inc.*, 818 F.2d 1466,
 16 1468 (9th Cir. 1987), *cert. denied*, 484 U.S. 1006 (1988). A Plaintiff cannot rest upon the
 17 allegations in his complaint, but must establish each element of his claim with "significant
 18 probative evidence tending to support the complaint." *T.W. Elec. Serv., Inc. v. Pacific Elec.*
 19 *Contractors Ass'n.*, 809 F.2d 626, 630 (9th Cir. 1987). A party opposing a motion must
 20 present facts in evidentiary form and cannot rest upon the pleadings. *Anderson*, 477 U.S.
 21 242. Genuine issues of material fact are not raised by conclusory or speculative allegations.
 22 *Lujan*, 497 U.S. at 871. The purpose of summary judgment is not to replace conclusory
 23 allegations in pleading form with conclusory allegations in an affidavit. *Lujan*, 497 U.S. at
 24 888; *cf. Anderson*, 477 U.S. at 249. Bare assertions unsupported by evidence do not preclude
 25 summary judgment. *California Architectural Bldg. Prods., supra.* Under these standards,
 26 Plaintiff's case should be dismissed as a matter of law.

VII. ARGUMENT

A. Plaintiff's Amended Complaint Should Be Dismissed For Failure To State A Claim

To state a claim under 42 U.S.C. § 1983, at least two elements must be met: (1) the defendant must be a person acting under color of state law; and (2) his conduct must have deprived the plaintiff of rights, privileges, or immunities secured by the Constitution or laws of the United States. *Parratt v. Taylor*, 451 U.S. 527, 535 (1981), *overruled in part on other grounds by Daniels v. Williams*, 474 U.S. 327 (1986). Implicit in the second element is a third element of causation. *See Mt. Healthy City School Dist. v. Doyle*, 429 U.S. 274, 286-87 (1977); *Flores v. Pierce*, 617 F.2d 1386, 1390-91 (9th Cir. 1980), *cert. denied*, 449 U.S. 875 (1980). When a plaintiff fails to allege or establish one of the three elements, his complaint must be dismissed. The Civil Rights Act, 42 U.S.C. § 1983, is not merely a “font of tort law.” *Parratt*, 451 U.S. at 532. The plaintiff may have suffered harm, even due to another’s negligent conduct, but that does not in itself necessarily demonstrate an abridgement of Constitutional protections. *Davidson v. Cannon*, 474 U.S. 344 (1986).

In the present case, Plaintiff claims violations of his rights under the First, Eighth, and Fourteenth Amendments. Plaintiff has not established sufficient facts to support a claim under any of these amendments. Plaintiff’s claims regarding the failure to process his grievances fail as a matter of law.

1. Plaintiff's Claims Regarding Failure To Process Grievances Must Be Dismissed As A Matter Of Law

Inmates have no constitutional right to a prison grievance system. *Mann v. Adams*, 855 F.2d 639 (9th Cir. 1988), *cert. denied*, 109 S. Ct. 242 (1988); *Stewart v. Block*, 938 F. Supp. 582 (C.D. Cal. 1996); *Hoover v. Watson*, 886 F. Supp. 410 (D. Del. 1995) (*aff’d*, 74 F.3d 1226). Moreover, if the state elects to provide a grievance mechanism, violations of its

procedures do not give rise to § 1983 claims. *Hoover v. Watson, supra*; *Brown v. G.P. Dodson*, 863 F. Supp. 284, 285 (W.D. Va. 1994); *Allen v. Wood*, 970 F. Supp. 824, 832 (E.D. Wash. 1997). Plaintiff's claims that Defendants Obenland, Dahne, and Schrum failed to process his grievances do not violate his constitutional rights; therefore, his claims must fail as a matter of law.

2. Plaintiff's First Amendment Claims Fail As A Matter Of Law

a. Defendants Did Not Impose A Prior Restraint On Mr. Christen's Freedom Of Speech

Even if Plaintiff could establish a legal entitlement to have his prison grievances handled in a particular way, his claim fails factually. The Prison Litigation Reform Act ("PLRA") requires inmates to exhaust available administrative remedies prior to their filing suit in federal court under 42 U.S.C. § 1983. *See* 42 U.S.C. § 1997e(a) (2000); *Booth v. Churner*, 532 U.S. 731, 735, 121 S.Ct. 1819, 149 L.Ed.2d 958 (2001). This means that a prisoner must file any grievances, complaints, and appeals he has concerning his prison conditions in the time, place, and manner required by the prison's administrative rules. *Pozo v. McCaughtry*, 286 F.3d 1022, 1025 (7th Cir. 2002); *see also Marella v. Terhune*, 568 F.3d 1024, 1028 (9th Cir. 2009) (A prisoner must comply with a prison's procedural requirements). Mr. Christen was given the opportunity to resubmit his grievances because the grievances he submitted did not follow the requirements of the OGP or DOC Policy 400.280 because Mr. Christen refused to sign his grievances with his committed name. Although Mr. Christen may object to or disagree with the basis for his grievances being rejected, his grievances violated the conditions for the manner required by the prison's administrative rules for filing grievances. Mr. Christen was clearly aware that his remedy was to resubmit his grievances and he chose not to avail himself of that remedy.

Mr. Christen's allegation that the denial of his grievances was a prior restraint on his free speech is without merit. The Supreme Court explained in *Ward v. Rock Against Racism*, "[T]he regulations we have found invalid as prior restraints have 'had this in common: they

1 gave public officials the power to deny use of a forum in advance of actual expression.’ ”
 2 *Ward v. Rock Against Racism*, 491 U.S. 781, 795 n. 5, 109 S.Ct. 2746, 105 L.Ed.2d 661
 3 (1989) (quoting *Southeastern Promotions Ltd. v. Conrad*, 420 U.S. 546, 553, 95 S.Ct. 1239,
 4 43 L.Ed.2d 448 (1975)). *But see FW/PBS, Inc. v. City of Dallas*, 493 U.S. 215, 225-30, 110
 5 S.Ct. 596, 107 L.Ed.2d 603 (1990) (invalidating as an impermissible prior restraint a
 6 licensing ordinance regulating the use of private property). A prior restraint need not
 7 actually result in suppression of speech in order to be constitutionally invalid. “The relevant
 8 question [in determining whether something is a prior restraint] is whether the challenged
 9 regulation *authorizes* suppression of speech in advance of its expression. . . .” *Ward*, 491
 10 U.S. at 795 n. 5, 109 S.Ct. 2746.

11 Mr. Christen’s speech was not restrained. Mr. Christen’s grievances were not denied
 12 based on their content, rather they were denied because he did not comply with the
 13 procedural requirements put in place by DOC for the submission of grievances and written
 14 communication with DOC staff. None of the Defendants restricted Mr. Christen’s speech
 15 either in advance of or after his expression. Rather, Defendants enforced legitimate
 16 procedures and policies governing the manner in which grievances are submitted. This is
 17 permissible. *See* Pozo, 286 F.3d at 1025; *see also Marella*, 568 F.3d at 1028. Thus, Mr.
 18 Christen’s First Amendment freedom of speech claim fails as a matter of law and Defendants
 19 are entitled to summary judgment.

20 **b. Defendants Did Not Violate Mr. Christen’s Right To Freely**
 21 **Exercise His Religion**

22 In order to establish a First Amendment violation, Plaintiff “must show that
 23 [defendant] burdened the practice of [his] religion, by preventing [him] from engaging in
 24 conduct mandated by [his] faith, without any justification reasonably related to legitimate
 25 penological interests.” *Freeman v. Arpaio*, 125 F.3d 732, 735 (9th Cir. 1997) (citing *Turner*
 26 *v. Safley*, 482 U.S. 78, 89, 107 S. Ct. 2254 (1987) (footnote omitted)). “In order to reach the

1 level of a constitutional violation, the interference with one's practice of religion 'must be more
 2 than an inconvenience; the burden must be substantial and an interference with a tenet or belief
 3 that is central to religious doctrine.'" *Freeman*, 125 F.3d at 737 (citing *Graham v. C.I.R.*, 822
 4 F.2d 844, 851 (9th Cir. 1987)). "This standard reflects the view that prison administrators,
 5 and not the courts, should resolve difficult and complex questions of institutional operations.
 6 Further, the standard is necessary so that courts avoid becoming the ultimate arbiters of every
 7 administrative problem in correctional institutions." *Allen v. Wood*, 970 F. Supp. 824, 829
 8 (E.D. Wash., 1997), citing *Turner*, 482 U.S. at 89, 107 S. Ct. at 2261-62.

9 Plaintiff must also show that the burden inflicted upon him by Defendants is
 10 substantial and that it interfered with a "tenet or belief that is central to" his religion. *Id.* at
 11 737 (quoting *Graham v. C.I.R.*, 822 F.2d 844, 851 (9th Cir. 1987)). "In order to reach the
 12 level of a constitutional violation, the interference with one's practice of religion 'must be
 13 more than an inconvenience; the burden must be substantial and an interference with a tenet
 14 or belief that is central to religious doctrine.'" *Freeman*, 125 F.3d at 737 (citing *Graham v.*
 15 *C.I.R.*, 822 F.2d 844, 851 (9th Cir. 1987)).

16 Plaintiff claims that Defendants Obenland, Dahne, Schrum, and Glebe violated his
 17 right to religious freedom by "dishonoring his signature." See Docket No. 10 at 15. Plaintiff
 18 has not met his burden in demonstrating a violation of his First Amendment rights. Plaintiff
 19 has not identified what religion he was attempting to practice by signing his grievances in the
 20 manner he chose to sign them, nor has he provided any evidence to demonstrate that signing
 21 documents in that manner was a central tenet or belief of that religion. As a result, Plaintiff
 22 cannot demonstrate a First Amendment violation.

23 Even if Plaintiff meets his burden of demonstrating that his manner of signing
 24 grievances is a central tenet or belief of his religion, he cannot demonstrate that Defendants
 25 actions imposed a substantial burden on that exercise. All that Plaintiff was required to do

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1 was list his legal name after his committed name to process his grievances, yet he refused
2 this simple procedure.

3 Assuming, *arguendo*, that Plaintiff is challenging the constitutionality of DOC Policy
4 400.280, his challenge fails as a matter of law. A prison regulation that infringes on a
5 constitutional right is valid if it “is reasonably related to legitimate penological interests.”
6 *Turner*, 482 U.S. at 89. The *Turner* court adopted the following rational relationship test to
7 determine if a regulation is valid: “when a prison regulation impinges on inmates’
8 constitutional rights, the regulation is valid if it is reasonably related to legitimate penological
9 interests.” *Id.* at 89. The *Turner* standard acknowledges the deference to be accorded to
10 prison officials in the “difficult judgments concerning institutional operations.” *Id.* The
11 court provided four factors to guide reviewing courts in determining the reasonableness of
12 the regulation. “First, there must be a ‘valid, rational connection’ between the prison
13 regulation and the legitimate governmental interest put forward to justify it.” *Id.* (citations
14 omitted). A second factor is “whether there are alternative means of exercising the rights that
15 remain open to prison inmates.” *Id.* at 90. The third consideration is the “impact
16 accommodation of the asserted constitutional right will have on guards and other inmates,
17 and the allocation of prison resources generally.” *Id.* Finally, the court said “absence of
18 ready alternatives is evidence of the reasonableness of the regulation.” *Id.*

19 In *Malik v. Brown*, 16 F.3d 330, the Ninth Circuit expressly held that although an
20 inmate has the constitutional right to use his or her legal name, the Department of
21 Corrections has a legitimate penological interest in the use of the inmate’s committed name.
22 *Malik v. Brown*, 16 F.3d 330, 334 (9th Cir. 1994), *mandate recalled*, 65 F.3d 148 (9th Cir.
23 1995), *appeal after remand*, 71 F.3d 724 (9th Cir. 1995). The *Malik* court cited to the
24 legitimate penological interests as “order, security, and administrative efficiency.” *Id.* citing
25 *Felix v. Rolan*, 833 F.2d 517, 519 (5th Cir. 1987). DOC Policy 400.280, which requires the
26 usage of both the legal name and the committed name is clearly rationally related to this

1 legitimate penological interest. An offender has the ability to exercise his right to use his
 2 religious name, he simply must also use his committed name on written communications
 3 with staff. Allowing an offender to use only his legal name, the name he was not committed
 4 under, would have a severe impact on order, security, and administrative efficiency within
 5 DOC. Finally, the alternative of allowing an offender to use both names clearly
 6 accommodates his rights. Thus, the *Turner* factors are met and Defendants are entitled to
 7 judgment as a matter of law.

8 **3. Plaintiff Has Failed To State A Claim Under The Eighth Amendment**

9 Inmates alleging Eighth Amendment violations based on prison conditions must
 10 demonstrate that prison officials were deliberately indifferent to their health or safety by
 11 subjecting them to a substantial risk of serious harm. *Farmer v. Brennan*, 511 U.S. 825, 834,
 12 114 S. Ct. 1970(1994); *Wallis v. Baldwin*, 70 F.3d 1074, 1076 (9th Cir. 1995). Prison
 13 officials display a deliberate indifference to an inmate's well-being when they consciously
 14 disregard an excessive risk of harm to the inmate's health or safety. *Farmer*, 511 U.S. at
 15 838-40; *Wallis*, 70 F.3d at 1077. Plaintiff's claims must satisfy both the objective and
 16 subjective components identified in the previous section.

17 The Eighth Amendment standard requires proof of both the objective and subjective
 18 component. *Hudson v. McMillian*, 503 U.S. 1, 112 S. Ct. 995 (1992). First, the deprivation
 19 alleged must objectively be sufficiently serious, resulting in a denial of the "minimal
 20 civilized measures of life's necessities." *Farmer*, 511 U.S. at 834 (quoting *Rhodes v.*
 21 *Chapman*, 452 U.S. 337, 347, 101 S. Ct. 2392 (1981)). In proving the objective component,
 22 an inmate must establish that there was both some degree of actual or potential injury, and
 23 that society considers the [acts] that the plaintiff complains of to be so grave that it violates
 24 contemporary standards of decency to expose anyone unwillingly [to those acts]. *Helling v.*
 25 *McKinney*, 509 U.S. 25, 36, 113 S. Ct. 2475(1993); *see also Estelle v. Gamble*, 429 U.S. 97,
 26 102, 97 S. Ct. 285(1976).

1 Second is the subjective component that the prison official possesses a sufficiently
 2 culpable state of mind: “deliberate indifference to inmate health and safety.” *Farmer*, 511
 3 U.S. at 834-36. With regard to deliberate indifference, a prison official is not liable “unless
 4 the official knows of and disregards an excessive risk to inmate health or safety; the official
 5 must both be aware of facts from which the inference could be drawn that a substantial risk
 6 of serious harm exists, and he must also draw the inference.” *Id.* at 837. The subjective
 7 component requires proof that the official was: 1) aware of the facts that would lead a
 8 reasonable person to infer the substantial risk of serious harm; 2) actually made the inference
 9 that the substantial risk of serious harm to the plaintiff existed; and 3) knowingly disregarded
 10 the risk. *Id.* The Court in *Farmer* explained:

11 [p]rison officials charged with deliberate indifference might show, for
 12 example, that they did not know of the underlying facts indicating a
 13 sufficiently substantial danger and that they were therefore unaware of a
 14 danger, or that they knew the underlying facts but believed (albeit unsoundly)
 15 that the risk to which the facts gave rise was insubstantial or nonexistent. In
 addition, prison officials who actually knew of a substantial risk to inmate
 health or safety may be found free from liability if they responded reasonably
 to the risk, even if the harm ultimately was not averted.

16 *Farmer*, 511 U.S. at 844. If either of these components is not established, the court need not
 17 inquire as to the existence of the other. *Helling*, 509 U.S. at 35.

18 The basis for Plaintiff’s Eighth Amendment claim is unclear. Plaintiff seems to
 19 suggest that because his grievance wherein he grieved his placement in a cell with an
 20 offender with MRSA was refused, this resulted in cruel and unusual punishment. If this
 21 assumption is accurate, Plaintiff’s claim fails as a matter of law. Plaintiff cannot demonstrate
 22 that Defendants were aware of and disregarded a substantial risk of serious harm. Plaintiff
 23 has not demonstrated that Defendants knew that by returning his grievance to him and giving
 24 him the opportunity to re-write the grievance in accordance with the OGP and DOC Policy
 25 400.280, he was at substantial risk of serious harm. Plaintiff himself had the ability to
 26 address this concern by re-writing his grievance, his failure to do so should not result in a

1 finding that Defendants violated his Eighth Amendment rights. Defendants' actions were not
 2 in violation of either the objective or the subjective prongs of the Eighth Amendment
 3 analysis. Defendants are entitled to summary judgment on this claim as a matter of law.

4 **4. Plaintiff Has Failed To State A Claim Under The Fourteenth Amendment**

5 Plaintiff's Fourteenth Amendment claim alleges that because his grievances were
 6 rejected, he was denied access to administrative remedies. As argued above, Plaintiff's access
 7 to the grievance program was squarely within his control – all he need do is comply with the
 8 OGP and DOC Policy 400.280. Plaintiff's refusal to comply with the required procedures and
 9 the Defendants enforcement of those procedures does not create a Fourteenth Amendment
 10 violation. Additionally, Plaintiff was given the opportunity to re-submit any and every one of
 11 his grievances throughout the months of April, May, and June 2009. Plaintiff refused to do so.
 12 Plaintiff's refusal prevented him from accessing his administrative remedies; Defendants actions
 13 did not deprive him of this right. As such, Defendants are entitled to summary judgment as a
 14 matter of law.

15 **B. Plaintiff's Claims Against Defendant Glebe Should Be Dismissed For Failure To** 16 **Allege Personal Participation Of Defendants**

17 In order to obtain relief against a defendant under 42 U.S.C. § 1983, a plaintiff
 18 must prove that each defendant has caused or personally participated in causing the
 19 deprivation of a particular protected constitutional right. *Arnold v. IBM*, 637 F.2d 1350,
 20 1355 (9th Cir. 1981); *Sherman v. Yakahi*, 549 F.2d 1287, 1290 (1977). To be liable for
 21 "causing" the deprivation of a constitutional right, the particular defendant must commit
 22 an affirmative act, or omit to perform an act, that he or she is legally required to do, and
 23 which causes the plaintiff's deprivation. *Johnson v. Duffy*, 588 F.2d 740, 743 (9th Cir.
 24 1978).

25 The inquiry into causation must be individualized and focus on the duties and
 26 responsibilities of each individual defendant whose acts or omissions are alleged to have

1 caused a constitutional deprivation. *Leer v. Murphy*, 844 F.2d 628, 633 (9th Cir. 1988);
 2 *see also Rizzo v. Goode*, 423 U.S. 362, 370-71, 375-77 (1976). Sweeping conclusory
 3 allegations against an official are insufficient to state a claim for relief. The plaintiff
 4 must set forth specific facts showing a causal connection between each defendant's
 5 actions and the harm allegedly suffered by plaintiff. *Aldabe v. Aldabe*, 616 F.2d 1089,
 6 1092 (9th Cir. 1980); *Rizzo*, 423 U.S. at 371.

7 Defendants in a 42 U.S.C. § 1983 action cannot be held liable based on a theory of
 8 *respondeat superior* or vicarious liability. *Polk County v. Dodson*, 454 U.S. 312, 325
 9 (1981). "At a minimum, a § 1983 plaintiff must show that a supervisory official at least
 10 implicitly authorized, approved, or knowingly acquiesced in the unconstitutional
 11 conduct." *Bellamy v. Bradley*, 729 F.2d 416, 421 (6th Cir. 1984), *cert. denied*, 469 U.S.
 12 845 (1984). A defendant cannot be held liable under 42 U.S.C. § 1983 solely on the basis
 13 of supervisory responsibility or position. *Monell v. Dept. of Social Services of City of*
 14 *New York*, 436 U.S. 658, 694 n.58 (1978); *Padway v. Palches*, 665 F.2d 965 (9th Cir.
 15 1982). Vague and conclusory allegations of official participation in civil rights violations
 16 are not sufficient. *Pena v. Gardner*, 976 F.2d 469, 471 (9th Cir. 1992). Absent some
 17 personal involvement by the defendants in the allegedly unlawful conduct of
 18 subordinates, they cannot be held liable under 42 U.S.C. § 1983. *Johnson*, 588 F.2d at
 19 743-44.

20 Plaintiff has failed to state how Defendant Glebe was involved with the obstruction of
 21 his grievances. In his Amended Complaint, Mr. Christen alleges only that Defendant Glebe
 22 "received several pieces of correspondence from Plaintiff" and that Defendant Glebe "turned a
 23 blind eye on what was taking place in his facility under his watch." *See* Amended Complaint at
 24 12. Thus, it appears Defendant Glebe was named in this suit based on his role as Superintendent
 25 of WCC and not because of any of his own involvement with the acts alleged. Defendant Glebe
 26 cannot be held liable based on a theory of *respondeat superior*. Absent personal involvement,

1 Defendant Glebe cannot be held liable. Therefore, Plaintiff's claims against Defendant
2 Glebe should be dismissed as a matter of law.

3 **C. Plaintiff's Federal Claims Against DOC Are Barred By The Eleventh**
4 **Amendment**

5 "[N]either a State nor its officials acting in their official capacities are 'persons' under §
6 1983." *Will v. Michigan Dep't of State Police*, 491 U.S. 58 (1989). "[A] suit against a state
7 official in his or her official capacity is not a suit against the official but rather is a suit against
8 the official's office. As such, it is no different from a suit against the State itself." *Id.* (citations
9 omitted). The State is entitled to immunity under the Eleventh Amendment and cannot be sued
10 in federal or state court by a citizen unless the State or state agency has consented to the suit.
11 *Alden v. Maine*, 527 U.S. 706 (1999); *see also Edelman v. Jordan*, 415 U.S. 651, 662-63 (1974).
12 Absent an Act of Congress or state action expressly waiving Eleventh Amendment
13 immunity, the Eleventh Amendment has consistently been applied to bar suits for damages
14 against the state in federal court. In *Quern v. Jordan*, 440 U.S. 332 (1979), and *Edelman*,
15 *supra*, the Supreme Court ruled that Congress did not intend to waive Eleventh Amendment
16 immunity in 42 U.S.C. § 1983 actions. Where there is no state waiver, the Eleventh
17 Amendment prohibits suits for damages against the state in federal or state court. The State
18 of Washington has not waived the protections granted by the Eleventh Amendment. *Edgar v.*
19 *State*, 92 Wn.2d 217, 595 P.2d 534 (1979). Therefore, Plaintiff's federal claims against DOC
20 are barred under the Eleventh Amendment and DOC should be dismissed from this lawsuit as
21 a matter of law.

22 **D. Defendants Are Entitled To Qualified Immunity**

23 Defendants did not violate Plaintiff's constitutional rights; however, if they did, they
24 are entitled to qualified immunity from damages. Under the doctrine of qualified immunity,
25 prison officials are "shielded from liability for civil damages insofar as their conduct does not
26 violate clearly established statutory or constitutional rights of which a reasonable person

1 would have known.” *Harlow v. Fitzgerald*, 457 U.S. 800, 818 (1982). Prison officials are
 2 protected by qualified immunity unless they violate clearly established law of which a
 3 reasonable person would have known. *Id.* The qualified immunity standard is a generous
 4 one. It “gives ample room for mistaken judgments” by protecting “all but the plainly
 5 incompetent or those who knowingly violate the law.” *Hunter v Bryant*, 502 U.S. 224, 229
 6 (1991) (quoting *Malley v. Briggs*, 475 U.S. 335, 341 (1986)). Because day to day decisions
 7 of prison officials are accorded deference by the courts under the principles espoused by *Bell*
 8 *v. Wolfish*, 441 U.S. 520 (1979), these officials are entitled to a corresponding
 9 accommodation if a reasonable error in judgment is made. “This accommodation . . . exists
 10 because ‘officials should not err always on the side of caution’ because they fear being sued.”
 11 *Hunter*, 502 U.S. at 228.

12 A civil rights Plaintiff opposing a claim of qualified immunity must establish the
 13 existence of a constitutional violation, clearly established law to support the claim, and that
 14 no reasonable official could believe their conduct was lawful. *Pearson, et al. v. Callahan*,
 15 555 U.S. 223 (2009); *Saucier v. Katz*, 533 U.S. 194, 199-200 (2001); *Siebert v. Gilley*, 500
 16 U.S. 226, 232 (1991). The test for qualified immunity is an objective test requiring the
 17 Plaintiff to prove a reasonable official could not believe his actions were constitutional. *See*
 18 *Act Up!/Portland v. Bagley*, 988 F.2d 868, 871 (9th Cir. 1993); *Hunter v. Bryant*, 502 U.S.
 19 224, 112 S. Ct. 534, 537 (1991). Using this standard, Defendants could have reasonably
 20 assumed their actions were constitutional.

21 Plaintiff has made claims under the First, Eighth, and Fourteenth Amendments. The
 22 law is clearly established that Defendants may not impose prior restraint on Plaintiff’s
 23 speech, may not substantially interfere with the exercise of Plaintiff’s religion, cannot be
 24 deliberately indifferent to Plaintiff’s health and safety needs, and cannot violate his rights to
 25 due process of law. The law is also clearly established that Plaintiff is not entitled to a
 26 grievance process. Even if he is entitled to a grievance process, Plaintiff has failed to show

1 that he has a right to flaunt DOC policies and procedures and file grievances in any manner
2 he sees fit. In fact, the law to the contrary is clearly established. As such, Defendants could
3 have reasonably believed they were acting in accordance with clearly established law and
4 are, thus, entitled to qualified immunity as a matter of law.

5 **VIII. CONCLUSION**

6 Based on the foregoing, Defendants respectfully request that this Court grant
7 Defendants summary judgment and dismiss Plaintiff's First Amended Complaint, with
8 prejudice, as a matter of law.

9 RESPECTFULLY SUBMITTED this 21st day of November, 2011.

10 ROBERT M. MCKENNA
11 Attorney General

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13 s/ Sara J. Di Vittorio
14 SARA J. DI VITTORIO, WSBA #33003
15 Assistant Attorney General
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CERTIFICATE OF SERVICE

I certify that on the date below I caused to be electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system and I hereby certify that I have mailed by United States Postal Service the document to the following non CM/ECF participant:

JASON CHRISTEN, DOC #814487
MONROE CORRECTIONAL COMPLEX
PO BOX 7002
MONROE, WA 98272-7002

EXECUTED this 21st day of November, 2011, at Olympia, Washington.

s/ Dawn Walker
DAWN WALKER
Legal Assistant